



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: S. BROD

FILED: APRIL 12, 1996

SERIAL NO.: 08/631,470

FOR: METHOD OF TREATING AUTO-IMMUNE  
DISEASES USING TYPE ONE INTERFERONS

§ GROUP ART UNIT: 1806

§ EXAMINER:

§ DOCKET: D5716CIP2

Commissioner of Patents and Trademarks  
Application Processing Division  
Customer Correction Branch  
Washington DC 20231

FILING RECEIPT CORRECTION AND  
CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Dear Sir:

Applicant hereby requests a correction to the filing receipt of the above-referenced patent application. The filing receipt states the filing date incorrectly as evidenced by the copy of the return postcard. The correction is noted in red on the enclosed copy. The filing date should be "April 12, 1996."

Additionally, I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to Commissioner of Patents and Trademarks, Washington DC 20231. Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date: June 5, 1996

Benjamin Aaron Adler  
Benjamin Aaron Adler, Ph.D.  
Registration No. 35,423

Dr. Benjamin Adler  
GILBRETH & ADLER, P.C.  
8011 Candle Lane  
Houston, Texas 77071

RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: S. Brod

FILED: 04/12/96

SERIAL NO.: 08/631,470

FOR: *Methods Of Treating Auto-Immune  
Diseases Using Type One Interferons*

§ GROUP ART UNIT:  
§ 1815  
§

§ EXAMINER:  
§ Sayla, C.  
§

§ DOCKET: D5836  
§

Assistant Commissioner of Patents and Trademarks  
Application Processing Division  
Customer Correction Branch  
Washington, D.C. 20231  
ATTENTION: Application Processing Division Customer Correction Branch

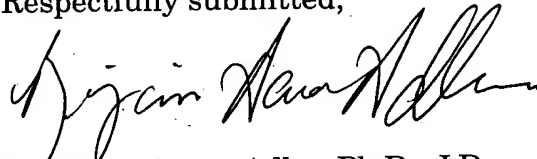
**FILING RECEIPT CORRECTION AND  
CERTIFICATE OF MAILING UNDER 37 CFR 1.8**

Dear Sir:

Applicant hereby requests a correction to the filing receipt for the above-captioned patent application. **This is the Second Request.** The filing date was April 12, 1996, not April 15, 1996. Submitted herewith are photocopies of the original Filing Receipt with corrections noted in red, the stamped return postcard indicating April 12, 1996 as the date of receipt of the above-captioned patent application. The date of the first request for a filing receipt correction was June 5, 1996, received by you on June 7, 1996, a copy of which is attached.

Additionally, I hereby certify under 37 CFR 1.8 that the following correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the dated indicated below and is addressed to Commissioner of Patents and Trademarks, Washington DC 20231: Filing Receipt Correction (1 page) and Filing Receipt with correction noted (1 page). Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,



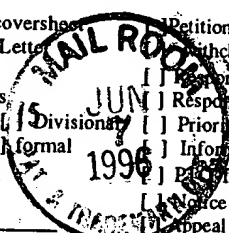
Benjamin Aaron Adler, Ph.D., J.D.  
Registration No. 35,423

Date: 1/21/98  
McGREGOR & ADLER, P.C.  
8011 Candle Lane  
Houston, Texas 77071  
(713) 777-2321

OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Applicant: S. ARON Atty: BAA  
 Serial No: 08/631,470 Docket No.: DB716UP2 Date: 6/5/96

- ☐ Provisional Patent Application w/cover sheet  
☐ Sequence Listing w/ Compliance Letter  
☐ Application for Patent including  
     \_\_\_\_\_ pages spec. \_\_\_\_\_ claims  
☐ Request for filing ☐ Continuation ☒ Divisional  
☐ Drawings: \_\_\_\_\_ sheets ☐ informal ☒ formal  
☐ Check for \$ \_\_\_\_\_  
☐ Certificate of Mailing 1.10  
     Express No. \_\_\_\_\_  
☒ Certificate of Mailing 1.8  
☐ Transmittal letter  
☐ Verified Small Entity Status Form  
☐ Combined Declaration and Power of Attorney  
☐ Assignment, w/check for \$ \_\_\_\_\_  
☒ Postcard  
     F.R.
- ☐ Petition for Extension of Time ☐ month(s)  
☐ Response to Notice to File Missing Parts  
☐ Response to Office Action ☐ RAF  
☐ Priority Document  
☐ Information Disclosure Statement  
☐ PCT Form 1449  
☐ Notice of Appeal  
☐ Appeal Brief \_\_\_\_\_ pages  
☐ Issue Fee Transmittal  
☐ Power of Attorney by assignee  
☐ Affidavit or ☐ Declaration ☐ Oath  
☐ Application for Intent-to-use TM/SM  
☐ Application for TM/SM Reg. w/specimen  
☒ Other F.R. CORR; copy of





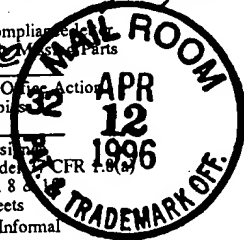
THE STAMP OF THE PATENT OFFICE, PLACED HEREON, ACKNOWLEDGES RECEIPT OF:

Applicant: BROD Attorney: BAA/ol  
Serial No.: \_\_\_\_\_ Docket No. D57164P2 Date: 4/12/96

- ☐ Provision Patent Application with Cover sheet
- ☐ Affidavit or ☐ Declaration
- ☒ Application for Patent including 61 pages spec. 20 claims
- ☐ Declaration or ☐ Oath
- ☐ Application for Intent-to-use TM/SM
- ☐ Application for TM/SM Reg. w/specimens
- ☒ Certificate of Express Mail 37 CFR 1.10 Express Mail No. EM21821986US
- ☐ Information Disclosure Statement PTO Form 1449, \_\_\_\_\_ references
- ☒ Power of Attorney DECA
- ☐ Req. for Filing ☐ Continuation ☐ Divisional Application under 37 CFR 1.60
- ☒ Verified Statement to Establish Small Entity Status
- ☒ Postcard
- ☐ Other \_\_\_\_\_

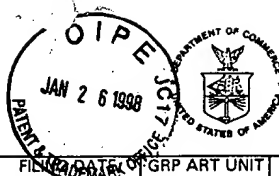
- ☐ Sequence Listing with Compliance
- ☐ Response to Notice to Fix or Restrict Parts
- ☒ Check for \$ 4140
- ☐ Amendment/Response to Office Action
- ☐ Brief, \_\_\_\_\_ copies
- ☐ Assignment, check for \$ \_\_\_\_\_
- ☐ Power of Attorney by Assignment
- ☐ Certificate of Mailing Under 37 CFR 1.8(a)
- ☐ Declaration under section 8 of 37 CFR
- ☒ Drawings: 17 sheets
  - ☐ Formal or ☒ Informal
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time [ ] months
  - [ ] with check for \$ \_\_\_\_\_
- ☐ Priority Document
- ☐ Transmittal letter (in duplicate)

08/631470



PTO-103X  
(Rev. 8-95)

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	CLASS	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/631,470	04/15/96		1806	\$414.00	D5716CIP2	19	20	4

BENJAMIN ADLER  
GILBRETH AND ADLER  
8011 CANDLE LANE  
HOUSTON TX 77071

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the date presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s) STALEY BROD, HOUSTON, TX.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A CIP OF 08/408,271 03/24/95

FOREIGN FILING LICENSE GRANTED 05/24/96 \* SMALL ENTITY \*  
TITLE  
METHOD OF TREATING AUTO-IMMUNE DISEASES USING TYPE ONE INTERFERONS  
PRELIMINARY CLASS: 514

(see reverse)



LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

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NOT GRANTED

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